COMPLIANCE UPDATES 2022

Presented By: Heida Hill & Michael Marshall
Nineteen Services, Inc.
Compliance…
Where we are now…
COVID…
Ever feel dizzy?

https://youtu.be/FdCy6MGOVfw
COVID & Compliance

Where are we now?

What about rule relaxations?
COMPLIANCE TOOL UPDATES 4.1.2022

- **Personnel:**
  - Addition of DOO (Director of Operations) language
  - Added – Ensuring Agency address, number and email address are updated in PSM
  - Added – Management of Individual Funds to Internal Compliance Program
  - Revision - HS Diploma/GED – Verify for staff passing medications; rule waiver in place until 9/30/22
Personnel (Cont’d)

- Added - Rapback hit – did provider take appropriate action if there was a Rapback hit

- New Guidance - Training on Medical Devices (Epi Pen/VNS/Over the Counter) - Clarification that Cat 1 certified staff need this training if working with someone who would need it

- Revised - Annual Training Plan for DOO’s, Supervisors and DSPs – New language for updated Provider Cert Rule

- Revised - Initial Training – New language for updated Provider Cert Rule
Personnel (Cont’d)
- Added - 90 Day supervisor training – agency specific duties
- Removal - ADS/Voc – Day Array specific training questions
- New Guidance - Competency Rate Add On – clarification that once the 60hrs have been met, it does not need to be repeated and additional language around information regarding what type of information need to on the certificate/transcripts

Service Delivery & Documentation:
- Removed - CI Add-on - Department approved community integration instruction – removed
- **MUI/UI:**
  - Agency Provider questions have remained the same
  - County Board questions have been added/re-numbered

- **Physical Environment:**
  - New Guidance - Provider controlled settings
  - New Guidance - Waiver Settings/Individual Choice – Additional guidance added to the tool to differentiate choice vs control, Independence and Access and Provider Owned or Controlled residential settings
Personnel Related Citations

- Background checks – initial BCII, database checks, attestations, Rapback enrollment
- Annual Training
- First Aid and CPR
- Medication Administration certification
Service Delivery and Documentation

- Documentation containing all the required elements
  - #1 citation in 2021 – issued 186 times
- Service plan implementation

MUI/UI Requirements

- UI Logs containing required information; specifically preventative measures
- Monthly review of UI’s to be documented
- Annual Trends and Patterns report submitted to county board
TIPS FOR NAVIGATING COMPLIANCE
TEAM19 TIPS...

- Prior to hire items: BCI/FBI, Registry Checks, and Attestation & Agreement

- High School Diploma waiver = ensuring staff are NOT passing medications

- BCI’s: Contact Attorney General’s Office if running close to the 60day mark
Training Requirements: Ensure your agency is completing the agency required items – the DODD training modules do not cover those topics

Annual Training: 2hrs in DODD modules + 6 additional hours for the year

UI Logs: Ensure they are completed monthly and reviewed (dated/signed) the month proceeding
TEAM19 TIPS...

• Transportation:
  • Rule revisions effective 2/1/2020
  • Vehicle License plate number
  • Start/stop miles
  • Trip details (per mile)
  • Annual Inspection (NMT)
  • Daily Inspections
  • Ratio (HPC)
• Medication Administration
  • Policy Requirements
  • Physician Orders matching the MARs
  • PRN medication having clear parameters (Med certified staff are precluded from using nursing judgement)
  • Ensure HRA’s have clearly defines parameters
  • Controlled substance logs
COMPLIANCE
FROM THE
PERSPECTIVE OF A
SELF-ADVOCATE
DEFINING THE TERM ADVOCATE

• One who defends or maintains a cause or proposal

• One who supports or promotes the interests of a cause or group

• One who pleads the cause of another
WHAT WE WANT VS. THE RULES...

- Understanding the “Bill of Rights”

- Being part of our Team to develop the best ISP

- It is important to know that the “Bill of Rights” gives people with DD’s freedom and are able to have a say in the development of their ISP. Yet not everything desired is possible because of guidelines set in place – comes down to **compliance**!
QUESTIONS...
Thanks for joining us today!

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